KLINGENSTEIN FIELDS
WEALTH ADVISORS

WHAT'S NEW

DECEMBER 2017

HERE'S WHAT'S BEEN GOING ON AT KLINGENSTEIN FIELDS WEALTH ADVISORS

Cyber Sleuthing Around

It seems like one can't turn around these days, without hearing about a breach of cybersecurity. KFWA is proactively working to help our clients protect their personal and account information online and elsewhere. As part of this effort, we work with Charles Schwab, our primary custodian, to ensure that stringent security measures are in place. In October we hosted an event featuring speaker, Michelle Thetford, Vice President, Client Strategic Solutions at Schwab. Michelle shared information about the online fraud and theft environment today. Michelle also had some useful tips on protecting against and minimizing the risks. If you missed this event, we encourage you to take steps to protect your information by reviewing our handouts, *How to Respond to a Data Breach* (download here) and *Preventing Fraud, Steps to Safeguard Your Assets* (download here).

Susan Curry, KFWA; Michelle Thetford, Schwab; Lacey Johnson, KFWA

KFWA On the Move

Lacey Johnson, Director, Wealth Advisor, was busy visiting with clients and friends of the firm on the west coast this fall. Lacey was in California, Oregon and Washington, and was joined by James Fields, President, and Jon Roberts, Senior Vice President, for team visits at some of the stops.

Amanda Freitag

Cooking for a Cause

The KFWA team continues to support God's Love We Deliver, an organization that prepares and delivers thousands of homemade, high-quality nutritious meals, every day that are free of charge, to those who are unable to do so themselves due to serious illness. On November 30, we hosted an event at the beautiful God's Love facility in SoHo, to raise awareness of the amazing work they do. This fun event featured a talk and cooking demonstration by celebrated chef and Food Network star, Amanda Freitag. Amanda demonstrated how easy it is to prepare gnocchi and fresh pesto, using a recipe from her book, *The Chef Next Door*. One of our guests even had the good fortune to assist Amanda in her demonstration.

KFWA regularly volunteers at God's Love We Deliver

Heading South

KFWA is constantly working to improve our knowledge base on behalf of our clients. In January 2018, Shomari Gilyard, Director, Wealth Planning and Lacey Johnson will head to Orlando, FL for the 52nd annual Heckerling Institute Conference on Estate Planning. The Heckerling Institute is sponsored by the University of Miami School of Law, which offers the Heckerling Graduate Program in Estate Planning. The conference is the nation's largest gathering of estate planning professionals — with attorneys, trust officers, accountants, insurance advisors and wealth management professionals all in attendance to discuss relevant topics and developments in our industry. This year's conference will focus on "Planning Strategies for an Uncertain World," how to prepare and amend agreements for the new partnership centralized audit rules and other timely topics. We look forward to applying insights and knowledge from the conference when helping our clients plan for the future.

Active in the Investment Adviser Association (IAA)

The IAA is an important organization in financial services regulation and provides KFWA with valuable insights and perspectives to help us serve our clients better. In mid-March 2018, Maria Chambers, IACCP, Chief Compliance Officer and Vice President, will be traveling to Capitol Hill for the IAA's Investment Adviser Compliance Conference. Maria will be a featured speaker on the panel on Key Issues in Third Party Due Diligence and Vendor Oversight and Contracts. Jon Roberts also continues to participate actively in the IAA, where he is Past Chair of the Board of Governors. Jon regularly shares experiences and best practices with other advisory firms and continues to learn about new developments and changes in the industry that may impact our clients. In October, Jon traveled to the Windy City for the annual IAA Leadership Conference, followed by a December IAA Board Meeting in Los Angeles.

Going Mobile

The ability to access your financial information at all times is becoming increasingly important. KFWA recognizes this and is pleased to introduce the KFWA mobile app, soon to be available for free through the Apple App Store and through Google Play, the Android App Store. Before you know it, a host of useful account information will be at your fingertips whenever you want, wherever you may be. Stay tuned for this exciting addition to our technology offering.

"We hope you find it helpful to learn about what KFWA is up to during the year. We enjoy sharing what's new with us and we appreciate any input you may have in areas that are of particular interest. Happy Holidays and our best wishes for 2018 to you and your family."

Kenneth D. Pollinger
CEO and Co-Chairman