KLINGENSTEIN FIELDS
WEALTH ADVISORS

WHAT'S NEW

JULY 2017

HERE'S WHAT'S BEEN GOING ON AT KLINGENSTEIN FIELDS WEALTH ADVISORS

Welcome Summer Interns

This year, KFWA has gone global in welcoming its three summer interns. Ian has just completed a work experience in Argentina in the hotel industry, while Cole and Chris have both finished their sophomore years at Yale University and Lehigh University, respectively. At KFWA, our interns have the opportunity to get hands-on experience in financial services to prepare them for future careers.

Left to right: Jerry Getsos, KFWA, Director of Research, with our 2017 interns, Ian, Cole and Chris

KFWA Chops and Slices for a Good Cause

We continue our involvement with God's Love We Deliver, which delivers thousands of homemade, high-quality nutritious meals free of charge every day to people who are unable to prepare meals themselves due to illness. On June 6, 2017, KFWA volunteers hit the kitchen at GLWD to chop a variety of healthy vegetables and package up meals for delivery.

Lacey D. Johnson, CFP®
Director, Wealth Advisor

KFWA on the Move

Lacey Johnson, Director, Wealth Advisor, has been busy traveling to visit KFWA current and potential relationships around the country. Her travels took her to Florida in March, with stops in Orlando, Sarasota, Naples, Jupiter and Palm Beach. In May and June, Lacey was busy on the West Coast, going from Seattle, Washington to Oregon, to Palo Alto, California. In July Lacey will be attending the InvestmentNews Women Adviser Summit in Boston, as well as visiting with individuals and families in the area. Her travels end, at least temporarily, with meetings in Newport, Rhode Island in August.

Mr. Roberts Goes to Washington

KFWA keeps a close eye on what's going on in Washington, D.C., especially governmental events and regulatory changes that may impact our clients. In June, Jon Roberts, Senior Vice President, was involved in a number of activities in the Capitol to support our clients' interests. As then Chair of the Investment Adviser Association (IAA) Board, he led the IAA Board of Governors to a first meeting with incoming Securities and Exchange (SEC) Commission Chair, Jay Clayton, as well as separate meetings with the other SEC Commissioners. Following those meetings, Jon was on Capitol Hill to represent the IAA to House and Senate legislators. The IAA agenda was extensive, covering fiduciary duty, investor protection, retirement savings and cybersecurity, among other topics. Jon ended his trip, and a very productive two-year term as IAA Board Chair, by passing on the ceremonial gavel to his successor, Jane Williams, co-founder of a west coast based advisor firm.

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KFWA Recycles

KFWA is committed to doing its part to help with NYC's Zero Waste Program, which aims to reduce NYC waste going to landfills to 0 tons by 2030. This law was enacted on August 1, 2016 and goes into effect August 1, 2017. KFWA employees received training and were tested on the components of NYC's Zero Waste Program, with positive reports from building management in meeting compliance requirements prior to the law's enforcement deadline.

"At KFWA, we consider you part of our family and enjoy sharing what's new with us, as well as finding out more about your activities. We look forward to opportunities to communicate with you further and appreciate your feedback on areas that may be of interest to you."

Kenneth D. Pollinger
CEO and Co-Chairman